



DEPARTMENT OF THE NAVY  
NAVAL SUPPORT ACTIVITY ANNAPOLIS  
58 BENNION ROAD  
ANNAPOLIS, MD 21402

NAVSUPPACT ANNAPOLISNOTE 5512

N3

26 OCT 2015

NAVAL SUPPORT ACTIVITY ANNAPOLIS NOTICE 5512

Subj: IMPLEMENTATION OF SECNAV FORM 5512/1 FOR BACKGROUND CHECKS

Ref: (a) CNICINST 5530.14 series

Encl: (1) SECNAV FORM 5512/1  
(2) How to complete Form 5512/1

1. Purpose: Reference (a) directs all shore installations to discontinue use of local access forms and use SECNAV Form 5512/1 for background checks. To implement this process the following local forms shall no longer be used for background checks to determine access to the installation as of 1 Dec 2015:

Form C: Currently used for contractors and vendors.

Form V: Currently used for visitors of residents and staff who are not contractors or vendors.

Form D: Currently used for Dependents of Faculty and Staff who need access.

Form F&S: Currently used for Faculty and Staff who do not have CAC or Contractor ID Cards.

Form MPP: Currently used for Midshipmen Parents

Form MS: Currently used for Midshipmen sponsors.

2. Policies and procedures: When submitting a request for installation access under any qualifying category (C/V/D/F&S/MPP/MS), ensure Form 5512/1 is utilized and that all information is provided. Complete Form 5512 electronically, or type the information before submitting. Forms submitted incomplete or illegible will be delayed until the Visitor Vetting Authority (VVA) has been contacted, and the missing or illegible information is obtained. Submitters must write the reason for access on the top of the form. The reason can be written out or the following abbreviations may be used "V", "C", "F&S", "D", "MS", "MPP". A copy of Form 5512/1 is attached as enclosure (1).

a. Form "C" applicants must complete blocks 1 through 24 as well as blocks 26-29 and date and sign block 31. Block 25 is to be completed and signed by the vetting authority. The phone number is critical in case there is an issue with legibility or other questions.

b. Form "D" applicants must complete blocks 1 through 24, and 26 through 31. The vetting authority will complete and sign block 25. The phone number is critical in case there is an issue with legibility or other questions.

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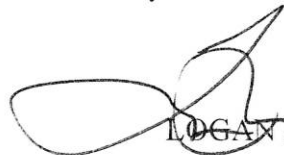
c. Form "V" applicants must complete blocks 1 through 24, and 29 through 31. The vetting authority will complete and sign block 25. The vetting official or resident should fill out block 25. Residents please add your address to the right of your signature in block 31 so we do not send it back for a vetting official's signature.

d. Form "F&S" applicants must complete blocks 1 through 24, and blocks 26 through 31. Block 25 should be filled out by the vetting official in the Human Relations Office. If a Local ID is required please indicate such on the top of the form.

e. Form "MS & MPP" applicants must complete blocks 1 through 24, blocks 29, and sign/date block 31. For sponsors, place the Sponsor Coordinators name and phone number in Block 25. For Midshipmen Parents please place your Midshipmen's name and Alpha number in block 25.

f. If you have questions please contact the vetting office at (410) 293-5778.

3. The Form 5512 shall be encrypted if submitted electronically as it contains Personally Identifiable Information (PII). Parents and Sponsors of Midshipmen may also mail the form to NSA Annapolis, (Attention : Vetting office), 257 Longshaw Road, Annapolis, MD, 21402. VVAs or residents may also hand-deliver the form to the vetting office in Building 257 or place it in the locked box outside the customer service window next to the vetting office. If there are any emergent issues, VVAs or residents may contact the NSA Annapolis CDO at 443-336-2635.



LOGAN JONES

OCT 26 2015

OMB 0703-0061 Exp. 31 Mar 2017

## DEPARTMENT OF THE NAVY LOCAL POPULATION ID CARD/BASE ACCESS PASS REGISTRATION

## PRIVACY ACT STATEMENT:

**AUTHORITY:** 10 U.S.C. 5013, Secretary of the Navy; 10 U.S.C. 5041, Headquarters, Marine Corps; OPNAVINST 5530.14E, Navy Physical Security; Marine Corps Order 5530.14A, Marine Corps Physical Security Program Manual; and E.O. 9397 (SSN), as amended, SORN **NM05512-2**.

**PURPOSE(S):** To control physical access to Department of Defense (DoD), Department of the Navy (DON) or U.S. Marine Corps Installations/Units controlled information, installations, facilities, or areas over which DoD, DON, or U.S. Marine Corps has security responsibilities by identifying or verifying an individual through the use of biometric databases and associated data processing/information services for designated populations for purposes of protecting U.S./Coalition/allied government/national security areas of responsibility and information; to issue badges, replace lost badges, and retrieve passes upon separation; to maintain visitor statistics; collect information to adjudicate access to facility; and track the entry/exit times of personnel.

**ROUTINE USE(S):** To designated contractors, Federal agencies, and foreign governments for the purpose of granting Navy officials access to their facility.

**DISCLOSURE:** Providing registration information is voluntary. Failure to provide requested information may result in denial of access to benefits, privileges, and DoD installations, facilities and buildings.

## IDENTITY PROOFING AND APPLICANT INFORMATION

1. LAST NAME:		2. FIRST NAME:		3. MIDDLE NAME:		4. NAME SUFFIX: <input type="checkbox"/> Jr. <input type="checkbox"/> Sr. <input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV	
5. HISPANIC OR LATINO (Check one): <input type="checkbox"/> YES <input type="checkbox"/> NO		6. RACE (Check one or more): <input type="checkbox"/> WHITE <input type="checkbox"/> AFRICAN AMERICAN OR BLACK <input type="checkbox"/> ASIAN <input type="checkbox"/> AMERICAN INDIAN OR ALASKAN NATIVE <input type="checkbox"/> NATIVE HAWAIIAN OR OTHER PACIFIC ISLANDER					
7. GENDER (Check one): <input type="checkbox"/> MALE <input type="checkbox"/> FEMALE		8. DATE OF BIRTH:		9. CITY OF BIRTH:		10. STATE OF BIRTH:	
						11. BIRTH COUNTRY:	
12. US CITIZEN (Check): <input type="checkbox"/> YES <input type="checkbox"/> NO		13. DUAL CITIZENSHIP: <input type="checkbox"/> YES <input type="checkbox"/> NO CITIZENSHIP IF OTHER THAN US (Country):					

## U.S. Citizen Minimum Documentation Required:

By Birth - Social Security No and/or State ID/Drivers License.

Naturalized - Certification Number, Petition Number, Date, Place and Court, United States passport number, Social Security No and/or State ID/Drivers License.

Derived - Parent's certification number, Social Security No and/or State ID/Drivers License.

## Alien Minimum Documentation Required:

Registration Number, Expiration date, Date of entry, Port of entry.

14. IDENTITY SOURCE DOCUMENTS PRESENTED:	15. DOCUMENT NUMBER:	16. ISSUED BY STATE/COURT:	17. ISSUED BY COUNTRY:	18. ISSUED:	19. EXPIRES:
<input type="checkbox"/> Social Security No.			United States		
<input type="checkbox"/> State ID/Drivers License			United States		
<input type="checkbox"/> Passport No.					
<input type="checkbox"/> Certification Number and Petition Number					
<input type="checkbox"/> Derived - Parent's Certification Number:			United States		
<input type="checkbox"/> Alien Registration No.			United States		
		Date of Entry:		Port of Entry:	

## OTHER APPROVED IDENTITY SOURCE DOCUMENTS:

<input type="checkbox"/>					
<input type="checkbox"/>					

20. WEIGHT (Pounds):	21. HEIGHT (Inches):	22. HAIR COLOR (Check one): <input type="checkbox"/> Blond <input type="checkbox"/> Brown <input type="checkbox"/> Black <input type="checkbox"/> Gray <input type="checkbox"/> Red <input type="checkbox"/> White <input type="checkbox"/> Silver <input type="checkbox"/> Auburn <input type="checkbox"/> Bald	23. EYE COLOR (Check one): <input type="checkbox"/> Brown <input type="checkbox"/> Green <input type="checkbox"/> Blue <input type="checkbox"/> Hazel <input type="checkbox"/> Black <input type="checkbox"/> Gray <input type="checkbox"/> Violet <input type="checkbox"/> Unknown
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24. HOME ADDRESS (Include city, state, zip code):	HOME PHONE (Include Area Code):
25. BASE SPONSOR'S NAME:	SPONSOR PHONE (Include Area Code):

## EMPLOYMENT ACTIVITY INFORMATION

26. EMPLOYER NAME AND ADDRESS (Include city/state/zip code):	EMPLOYER PHONE (Include Area Code):
27. SUPERVISOR NAME AND ADDRESS (Include city/state/zip code):	SUPERVISOR PHONE (Include Area Code):

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28. Check the applicable box for WORK HOURS box or check the OTHER box and enter the work hours, then check the applicable for WORK DAYS:

WORK HOURS: ☐ 0600-1800 ☐ 0800-1700 ☐ OTHER

WORK DAYS: ☐ SN ☐ M ☐ T ☐ W ☐ TH ☐ F ☐ ST

#### PRIOR FELONY CONVICTIONS

29. Have you ever been convicted of a Felony? ☐ YES ☐ NO Initial \_\_\_\_\_

#### REQUIREMENT TO RETURN LOCAL POPULATION ID CARD

30. I understand that I am required to return my Local Population Identification Card to the Base Pass Office when it expires or if my employment is terminated for any reason. \_\_\_\_\_ (Initial)

#### AUTHORIZATION AND RELEASE AND CERTIFICATION

31. I hereby authorize the DOD/DON and other authorized Federal agencies to obtain any information required from the Federal government and/or state agencies, including but not limited to, the Federal Bureau of Investigation (FBI), the Defense Security Service (DSS), the U.S. Department of Homeland Security (DHS).

I have been notified of DON right to perform minimal vetting and fitness determination as a condition of access to DON installation/facilities. I understand that I may request a record identifier; the source of the record and that I may obtain records from the State Law Enforcement Office as may be available to me under the law. I also understand that this information will be treated as privileged and confidential information.

I release any individual, including records custodians, any component of the U.S. Government or the individual State Criminal History Repository supplying information, from all liability for damages that may result on account of compliance, or any attempts to comply with this authorization. This release is binding, now and in the future, on my heirs, assigns, associates, and personal representative(s) of any nature. Copies of this authorization that show my signature are as valid as the original release signed by me.

FALSE STATEMENTS ARE PUNISHABLE BY LAW AND COULD RESULT IN FINES AND/OR IMPRISONMENT UP TO FIVE YEARS.

BEFORE SIGNING THIS FORM, REVIEW IT CAREFULLY TO MAKE SURE YOU HAVE ANSWERED ALL QUESTIONS FULLY AND CORRECTLY.

I DECLARE UNDER PENALTY OF PERJURY THAT THE STATEMENTS MADE BY ME ON THIS FORM ARE TRUE, COMPLETE AND CORRECT

DATE \_\_\_\_\_ SIGNATURE \_\_\_\_\_

FINAL DETERMINATION ON YOUR ACCESS: The Base Commanding Officer has final authority for determination on granting physical access to DON controlled installations/facilities under his/her jurisdiction.

#### BELOW COMPLETED BY BASE REGISTRAR PERSON CONDUCTING IDENTITY PROOFING and NCIC CHECK

32. INFORMATION VERIFIED BY: 33. ENTERED IN C/S SYSTEM BY: 34. PASS ISSUE DATE: 35. PASS EXPIRATION DATE:

36. NCIC CHECK PERFORMED BY: 37. RESULTS OF NCIC CHECK: ☐ NO RECORDS ☐ RECORD IDENTIFIER  
RECORD NUMBER: 38. RESULTS OF LOCAL RECORDS CHECK: ☐ NO RECORDS ☐ RECORD IDENTIFIER  
RECORD NUMBER:

Office of Under Secretary of Defense Directive-Type Memorandum (DTM) 09-012, "Interim Policy Guidance for DoD Physical Access Control," December 8, 2009. DTM 09-012 requires that DoD installation government representatives query the National Crime Information Center (NCIC) and Terrorist Screening Database to vet the claimed identity and to determine the fitness of non-federal government and non-DoD-issued card holders (i.e. visitors) who are requesting unescorted access to a DoD installation. The minimum criteria to determine the fitness of a visitor is: 1) not on a terrorist watch list; 2) not on an DoD installation debarment list; and 3) not on a FBI National Criminal Information Center (NCIC) felony wants and warrants list. Additionally, SECNAV Memo, Policy for Sex Offender Tracking and Assignment and Access Restrictions within the Department of the Navy, of 7 Oct 08 and OPNAVINST 1752.3 established the Navy's policy on sex offenders, requiring Region Commanders (REGCOMs) and Installation Commanding Officers (COs) to prohibit sex offender access to DoN facilities and Navy owned, leased or PPV housing. This form describes the authority and purpose to collect and share the required information; and identifies the applicant/visitor and sponsor; and authorizes the DoD to perform the minimum vetting and fitness determination criteria. A favorable response on the vetting and fitness determination is required to receive access to DOD-controlled installation/facilities.



## Instruction for completing the Local Population Access Registration Form

**INSTRUCTIONS:** Please complete all information in black ink (printed) or by typing. By voluntarily providing your Personal Information, you agree to the following terms and restrictions:

**RESTRICTIONS:** Local Population Identification Card/Base Access Pass may only be used by person to whom they are issued and for the specific business/purpose issued. Applicants are reminded that soliciting (i.e., door-to-door sales) is prohibited on the base, and that such activity is grounds for cancellation of the Pass. Additionally, such action may result in debarment from the base and legal action. The Base Commanding Officer has discretion over specifying the period of validity for any Local Population ID Cards/Base Access Passes that are issued under his/her jurisdiction. Review the Privacy At Statement that is printed at the top of the form

<p>Block 1: Enter the Last Name.</p> <p>Block 2: Enter the First Name.</p> <p>Block 3: Enter the Middle Name.</p> <p>Block 4: If applicable, check the box for Name Suffix.</p> <p>Block 5: Check the applicable box for Hispanic or Latino.</p> <p>Block 6: Check the applicable box for Race.</p> <p>Block 7: Check the applicable box for Gender.</p> <p>Block 8: Enter Date of Birth.</p> <p>Block 9: Enter City of Birth.</p> <p>Block 10: Enter State of Birth.</p> <p>Block 11: Enter Country of Birth.</p> <p>Block 12: Check the applicable box for US Citizenship.</p> <p>Block 13: If not a US Citizen, enter the name of the Country of Citizenship.</p> <p>Block 14: Two forms of identity source documents from the list of acceptable documents listed below must be presented to the base registrar with this completed form. Check the box for the type of Documents that will be presented for identity proofing. If the document type is not listed, use the two rows under Other Approved Identity Source Documents to enter the type of document(s) that you will present.</p> <p>Block 15: Enter the Document Number located on the Identity Proofing Source document that was checked in Block 14.</p> <p>Block 16: Enter the State that issued the Identity Source Document.</p> <p>Block 17: Enter the Country that issued the Identity Source Document.</p>	<p>Block 18: Enter the Date that the Identity Source Document was issued.</p> <p>Block 19: Enter the Date that the Identity Source Document will expire.</p> <p>Block 20: Enter Weight in pounds.</p> <p>Block 21: Enter Height in inches.</p> <p>Block 22: Check the applicable box for Hair Color.</p> <p>Block 23: Check the applicable box for Eye Color.</p> <p>Block 24: Enter Home Address including City, State, Zip Code, and Home Telephone Number.</p> <p>Block 25: Enter Name of Registrant's Base Sponsor and Base Sponsor's Telephone Number.</p> <p>Block 26: Enter Employer Name and address including City, State, Zip Code, and Employer's Telephone Number.</p> <p>Block 27: Enter Supervisor's Name including City, State, Zip Code, and Supervisor's Telephone Number.</p> <p>Block 28: Check the applicable box for Work Hours box or check the OTHER box and enter the work hours, then check applicable boxes for Work Days.</p> <p>Block 28: Check the applicable answer if you have been convicted of Felony and enter initials.</p> <p>Block 29: Check the applicable box for felony conviction.</p> <p>Block 30: Enter initials to accept terms for returning Local Population Identification Card.</p> <p>Block 31: Sign and date the form to attest that the foregoing information is true and complete to best of your knowledge.</p>
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### LIST OF ACCEPTABLE DOCUMENTS - All documents must not be expired.

Must present one selection from List A or a combination of one selection from List B and one selection from List C.

List A - Documents that Establish Identity and Employment Authorization	OR	List B - Documents that Establish Identity	AND	List C - Documents that Establish Employment Authorization
<ol style="list-style-type: none"> <li>1. U.S. Passport or U.S. Passport Card.</li> <li>2. Permanent Resident Card or Alien Registration Receipt Card (Form I-551).</li> <li>3. Foreign passport that contains a temporary I-551 stamp or temporary I-551 printed notation on a machine-readable immigrant visa.</li> <li>4. Employment Authorization Document that contains a photograph (Form I-766).</li> <li>5. For a nonimmigrant alien authorized to work for a specific employer because of his or her status:               <ol style="list-style-type: none"> <li>a. Foreign Passport; and</li> <li>b. Form I-94 or Form I-94A that has the following:                   <ol style="list-style-type: none"> <li>(1) The same name as the passport; and</li> <li>(2) An endorsement of the alien's nonimmigrant status as long as that period of endorsement has not yet expired and the proposed employment is not in conflict with and restrictions or limitations identified on form.</li> </ol> </li> </ol> </li> <li>6. Passport from the Federal States of Micronesia (FSM) or the Republic of the Marshall Islands (RM) with Form I-94 or Form I-94A indicating nonimmigrant admission under the Compact of Free Association Between the United States and FSM or RM.</li> </ol>		<ol style="list-style-type: none"> <li>1. Driver's license or ID card issued by a State or outlying possession of the United States provided it contains a photograph or information such as name, date of birth, gender, height, eye color, and address.</li> <li>2. ID card issued by federal, state or local government agencies or entities, provided it contains a photograph or information such as name, date of birth, gender, height, eye color, and address.</li> <li>3. School ID card with a photograph</li> <li>4. Voter's registration card.</li> <li>5. U.S. Military card or draft record.</li> <li>6. Military dependent's ID card.</li> <li>7. U.S. Coast Guard Merchant Mariner Card.</li> <li>8. Native American tribal document.</li> <li>9. Driver's license issued by a Canadian government authority.</li> </ol> <p>For persons under age 18 who are unable to present a document listed above:</p> <ol style="list-style-type: none"> <li>10. School record or report card.</li> <li>11. Clinic, doctor, or hospital record.</li> <li>12. Day-care or nursery school record.</li> </ol>		<ol style="list-style-type: none"> <li>1. A Social Security Account Number card, unless the card includes one of the following restrictions:               <ol style="list-style-type: none"> <li>(1) NOT VALID FOR EMPLOYMENT</li> <li>(2) VALID FOR WORK ONLY WITH INS AUTHORIZATION.</li> <li>(3) VALID FOR WORK ONLY WITH DHS AUTHORIZATION.</li> </ol> </li> <li>2. Certification of Birth Abroad issued by the Department of State (Form FS-545).</li> <li>3. Certification of Birth issued by the Department of State (Form DS-1360).</li> <li>4. Original or certified copy of birth certificate issued by a State, county, municipal authority or territory of the United States bearing an official seal.</li> <li>5. Native American tribal document.</li> <li>6. U.S. Citizen ID Card (Form I-197).</li> <li>7. Identification Card for Use of Resident Citizen in the United States (Form I-179).</li> <li>8. Employment authorization document issued by the Department of Homeland Security.</li> </ol>

The remainder of the form will be completed by the Base Registrar Person conducting Identity Proofing process and NCIC check.

### AGENCY DISCLOSURE STATEMENT:

The public reporting burden for this collection of information is estimated to average 10 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Department of Defense, Washington Headquarters Services, Executive Services Directorate, Information Management Division, 4800 Mark Center Drive, East Tower, Suite 02G09, Alexandria, VA 22350-3100 OMB 0703-0061. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number.

PLEASE DO NOT RETURN COMPLETED FORM TO THE ABOVE ADDRESS.  
Completed form should be submitted to the Base Registrar.

## How to complete Form 5512/1

The following personnel must complete these specific instructions of Form 5512/1 and return to the Vetting Office for approval:

### Contractors/Vendors must complete:

Blocks 1-24, blocks 26-29, and sign/date block 31. Block 25 must be completed and the vetting authority needs to print their name and sign the form. The phone number is critical in case there is an issue with legibility or other questions.

### Dependents must complete:

Blocks 1-24 and 26-31. The vetting authority will complete and sign block 25. The phone number is critical in case there is an issue with legibility or other questions.

### Visitors must complete:

Blocks 1-24 and 29-31. The vetting official or resident should fill out block 25. Residents please add your address to the right of your signature in block 31 so we do not send it back for a vetting official's signature.

### Faculty and Staff must complete:

Blocks 1-24 and blocks 26-31. Block 25 should be filled out by the vetting official in the Human Relations Office. If a Local ID is required please indicate such on the top of the form.

### Midshipmen Sponsors & Midshipmen Parents must complete:

Blocks 1-24, blocks 29, and sign/date block 31. For sponsors, place the Sponsor Coordinators name and number in Block 25. For Midshipmen Parents, please place your Midshipmen's name and Alpha number in Block 25. Parents and Sponsors may mail the form to Security Officer, Attention Vetting Office, 257 Longshaw Road, Annapolis, MD, 21401

*\*\* If you have questions please contact the vetting office at (410) 293-5788. \*\**